



An Efficient Approach for Diagnosability and Diagnosis of DES Based on Labeled Petri Nets - Untimed and Timed Contexts

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- ❖ Introduction
- ❖ Fault diagnosis of DES in **untimed** context
- ❖ Fault diagnosis of DES in **timed** context
- ❖ Conclusions & perspectives



Introduction

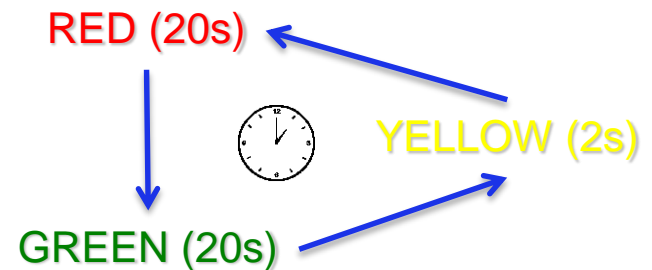
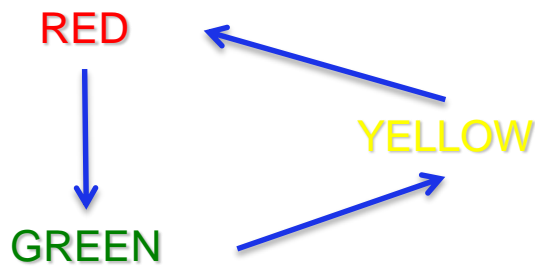
- ❖ Context
- ❖ Problems & objectives

Discrete event system (DES)



DES = Discrete-state Event-driven System

Untimed modeling $\xrightarrow[\text{More complex}]{\text{More information}}$ Timed modeling



Fault diagnosis

❖ Partial observation



Constraints for sensor installation

- Technical constraints
- Maintenance
- Availability
- Cost
- ...



It is necessary to develop efficient monitoring techniques to overcome the partial observability on system behavior.

Fault diagnosis

- ❖ **Partial** observation
- ❖ Diagnosis
 - To deduce, online, the occurrence of an unobservable fault and its type using observable events.

- ❖ Diagnosability



Sampath et al., 1995

Definition 1: A prefix-closed and live language L is said to be diagnosable with respect to the projection P and with respect to the partition Π_f on Σ_f if the following holds

$$(\forall i \in \Pi_f)(\exists n_i \in \mathbb{N})[\forall s \in \Psi(\Sigma_{fi})](\forall t \in L/s) \\ [||t|| \geq n_i \Rightarrow D]$$

where the diagnosability condition D is

$$\omega \in P_L^{-1}[P(st)] \Rightarrow \Sigma_{fi} \in \omega.$$

Fault diagnosis

- ❖ **Partial** observation
- ❖ Diagnosis
 - To deduce, online, the occurrence of an unobservable fault and its type using observable events.
- ❖ Diagnosability
 - The ability to diagnose any fault **in a finite delay** after its occurrence.
- ❖ K/Δ -diagnosability
 - The ability to diagnose any fault **in a finite delay of K/Δ** after its occurrence.
 - To find the **minimum K/Δ** to ensure diagnosability is of interest.

Objective

- ❖ To tackle the combinatorial explosion problem in the diagnoser approach
- ❖ To develop a technique to cover all the main problems in both untimed and timed contexts:
 - K -/ Δ -/classic diagnosability, K_{\min}/Δ_{\min} , online diagnosis
- ❖ Independency from the way the behavior model has been obtained

Hypotheses

- ❖ Input model
 - Labeled Petri net (LPN) for untimed context
 - Labeled time Petri net (LTPN) for timed context
 - Expressiveness
 - compact representation
- ❖ We take the classic hypotheses:
 - The models are **live** and **bounded**.
 - Absence of feasible cycle of **unobservable** transitions
 - Faults are **permanent**.
 - $\Sigma = \Sigma_o \cup \Sigma_u$
 - $\Sigma_f \subseteq \Sigma_u$
 - $\Sigma_f = \cup \Sigma_{Fi}$

Contributions

- I. Fault diagnosis of DES in an untimed context using **labeled Petri nets** (LPNs)

- II. Fault diagnosis of DES in a timed context using **labeled time Petri nets** (LTPNs)

Problems

- ❖ Diagnosability: Is the system **diagnosable**?
- ❖ K/Δ -diagnosability: Is the system diagnosable **in a given finite delay of K/Δ** after the occurrence of fault?
- ❖ K_{\min}/Δ_{\min} : If the system is diagnosable, what is the **minimum K/Δ** to ensure diagnosability?
- ❖ Online diagnosis



I. Fault diagnosis of DES in **untimed** context

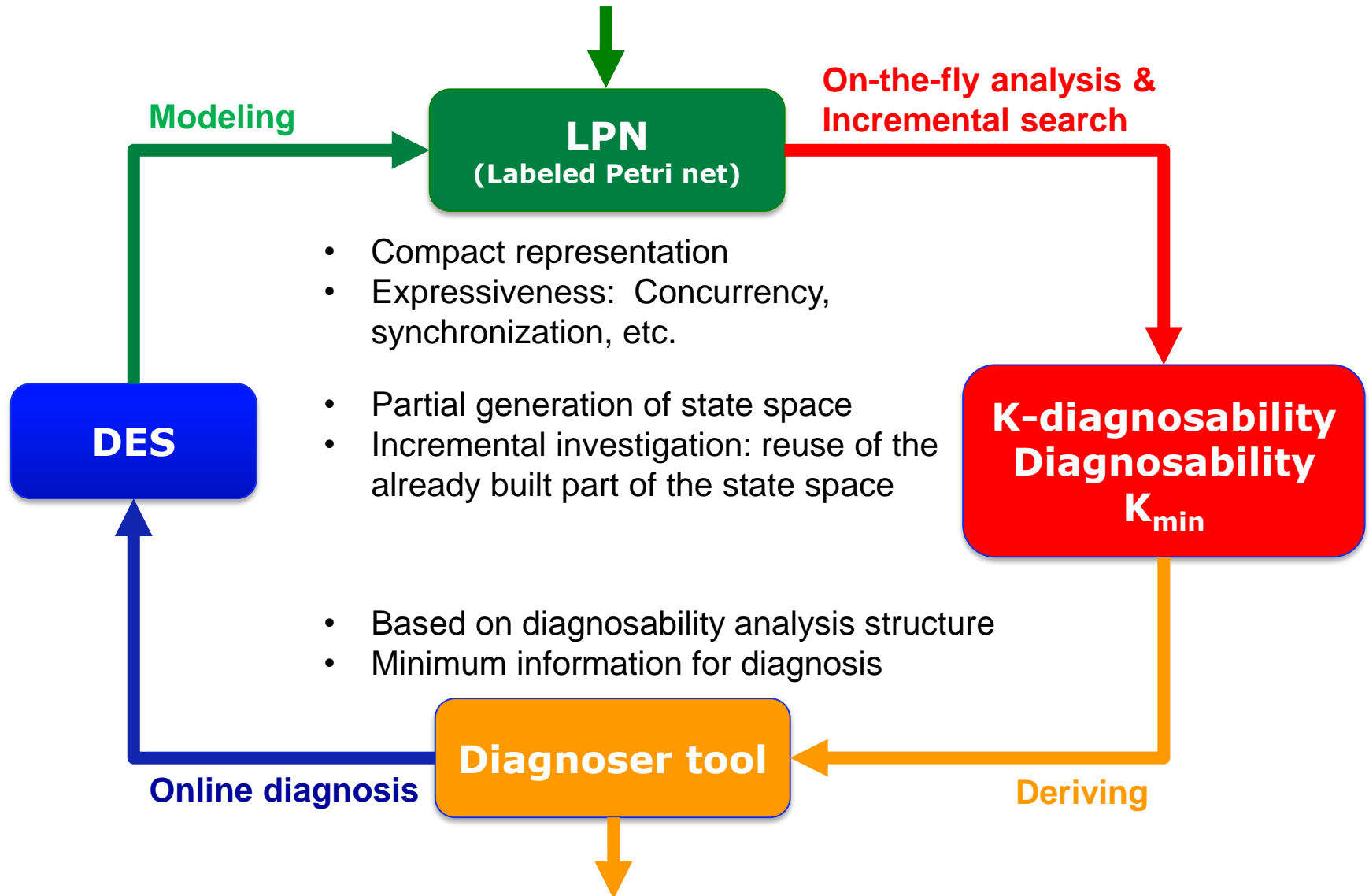
- ❖ Motivations
- ❖ K-diagnosability
- ❖ Diagnosability based on K-diagnosability analysis
- ❖ Online diagnosis
- ❖ Comparative results

State of the art – untimed diagnosis

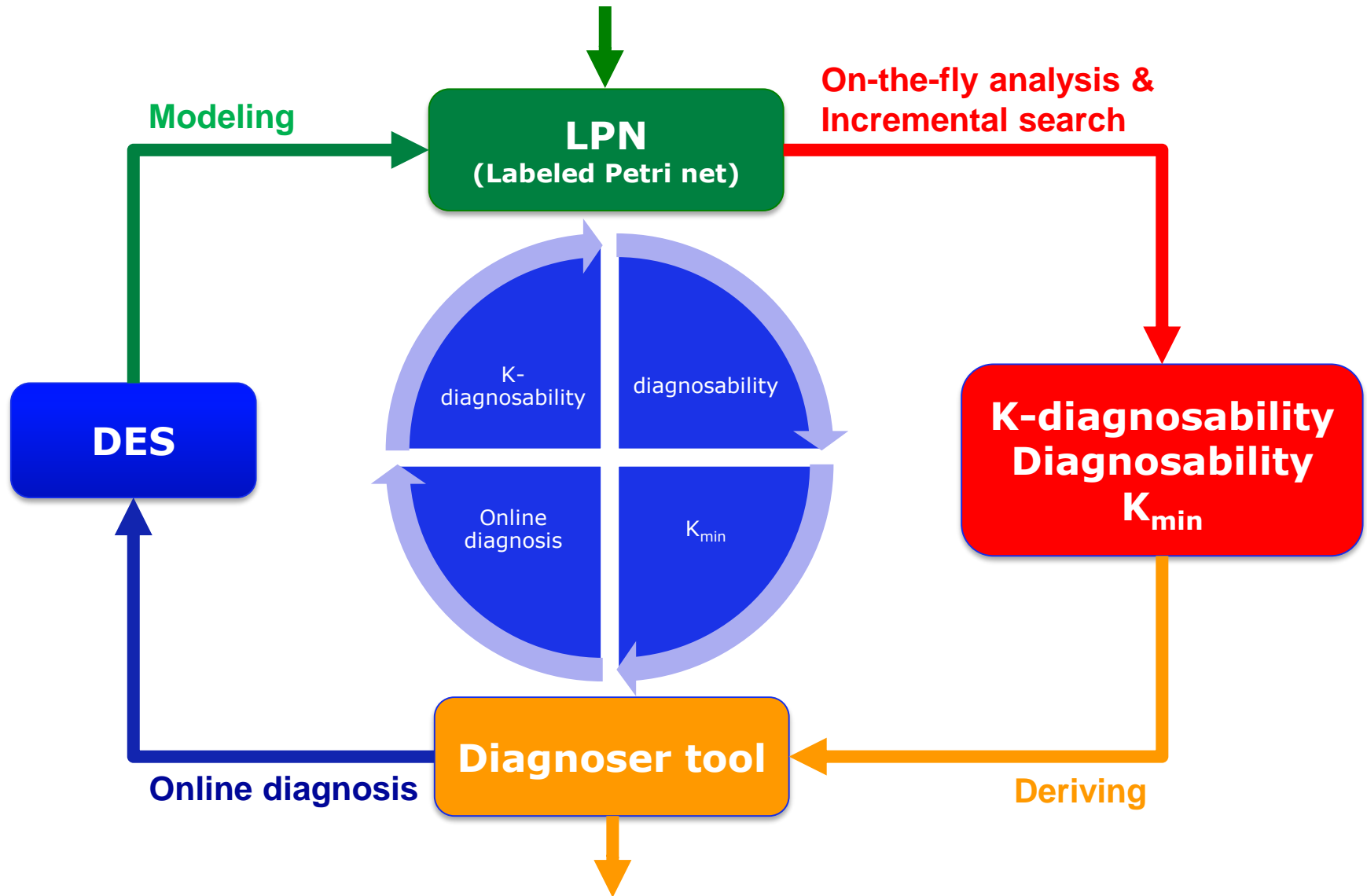
Contribution	Model	Diagnosability	K-diagnosability	K_{\min}	Technique
Sampath et al.,1995	automata	✓			Diagnoser
Jiang et al.,	automata	✓			Twin-plant
Yoo et al.	automata	✓			Verifier automata
Cabasino et al.	Petri net (PN)	✓	✓		Verifier PN, BRG
Basile et al.	PN		✓		Integer linear programming (ILP)
Our contribution	PN	✓	✓	✓	On-the-fly & Incremental analysis

- ❖ Automata-based approaches suffer from combinatorial explosion problem.
- ❖ Existing PN-based approaches focus on certain aspects.
- ❖ On-the-fly and incremental technique help to integrate K-/classic diagnosability, K_{\min} and online diagnosis.

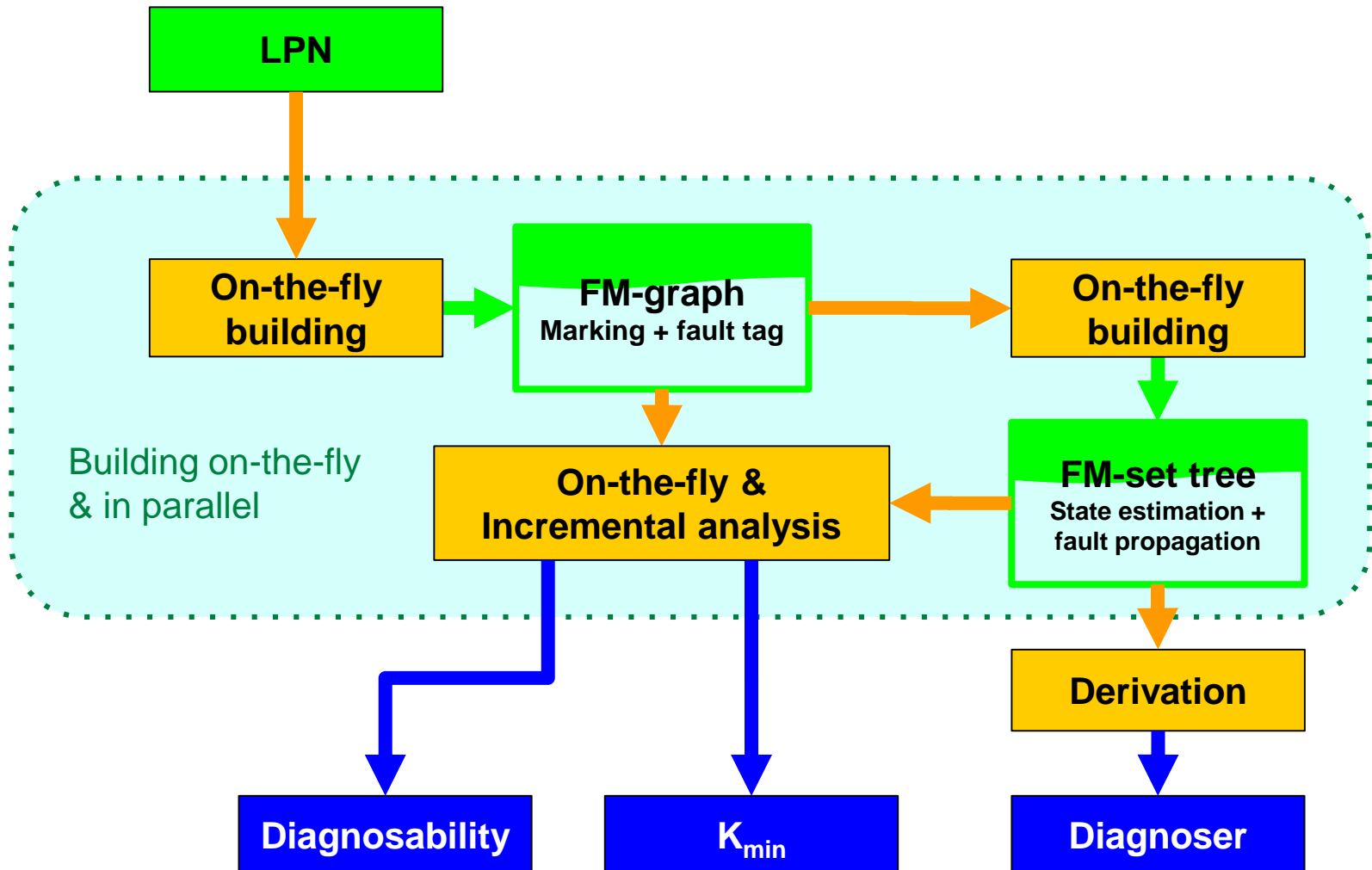
Idea



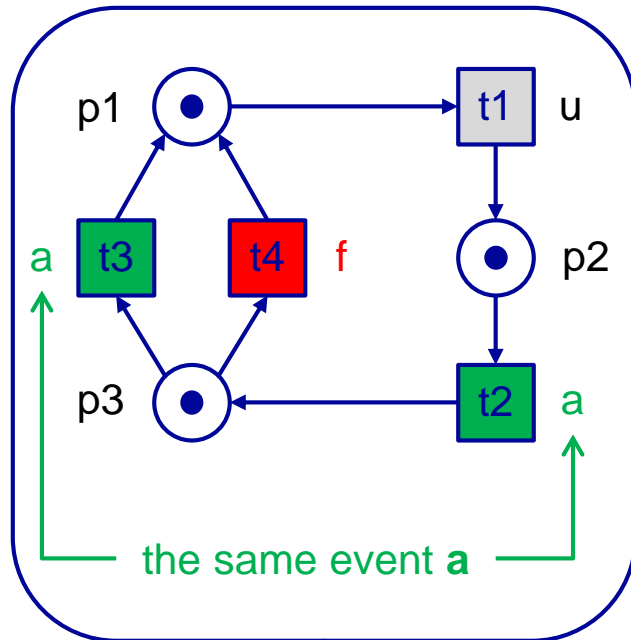
Idea



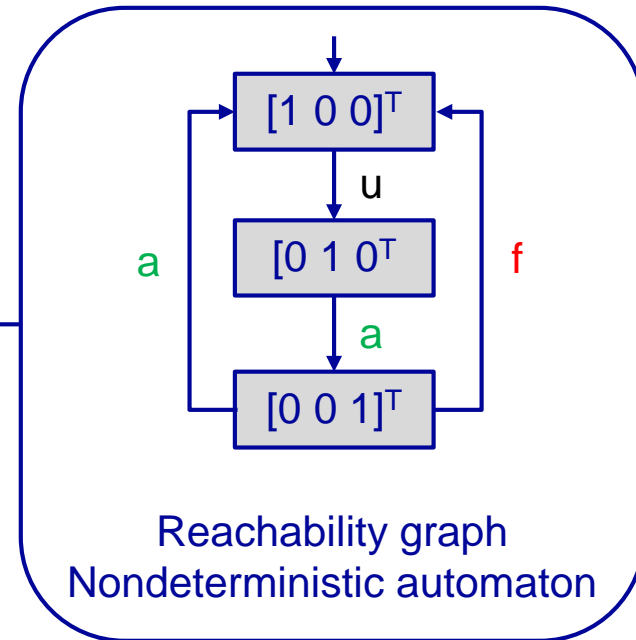
On-the-fly & incremental analysis



LPN approach vs. classic approaches



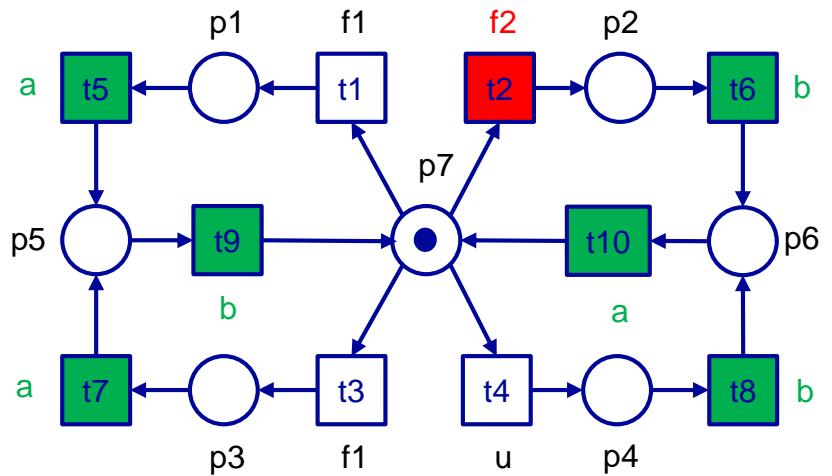
on-the-fly analysis & incremental search



A priori building of the whole state space

diagnosability analysis

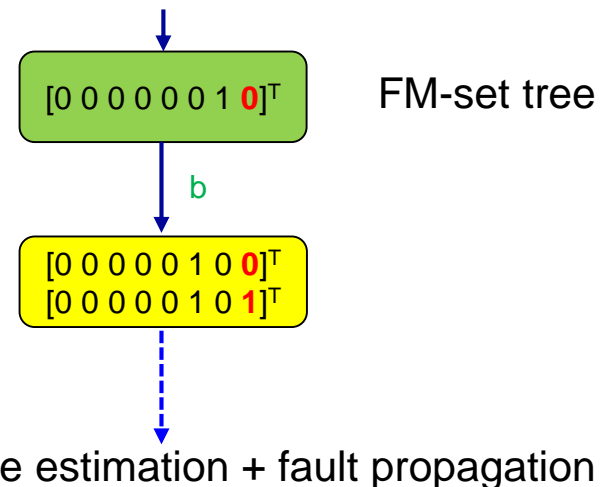
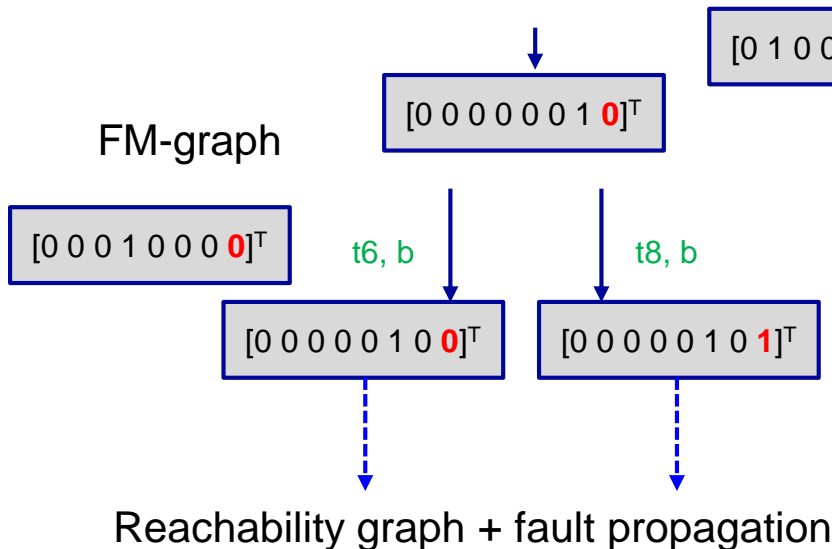
Fault marking (FM) & FM-set



❖ FM = marking + **fault tag**

- 0 = no occurrence of fault
- 1 = a fault has occurred

❖ An FM-set is the set of FMs that reachable from FM_0 by the **same observation**.



Fault tag of FM-set

❖ Fault tag of FM-set to estimate the occurrence of fault

- [Sampath, Cabasino, ...]

- Normal (N)

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix}^T$$

If all the tags of FM is 0

- F-certain (F)

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 \end{bmatrix}^T$$

If all the tags of FM is 1

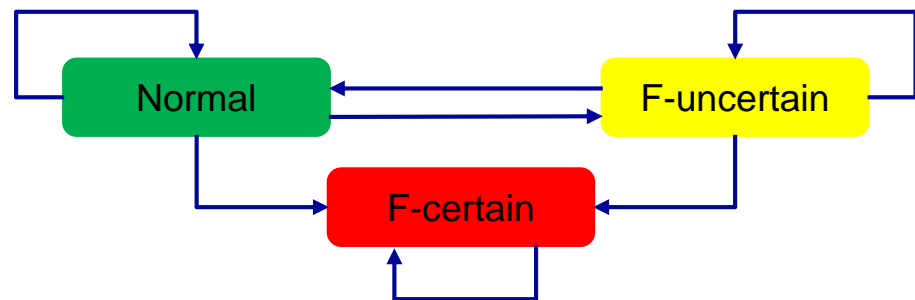
- F-uncertain (U)

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix}^T$$

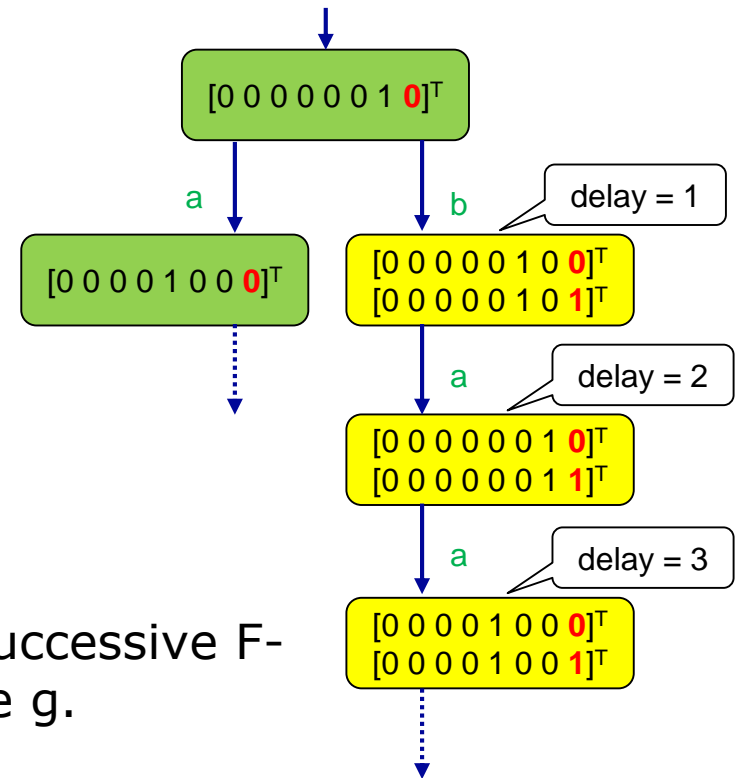
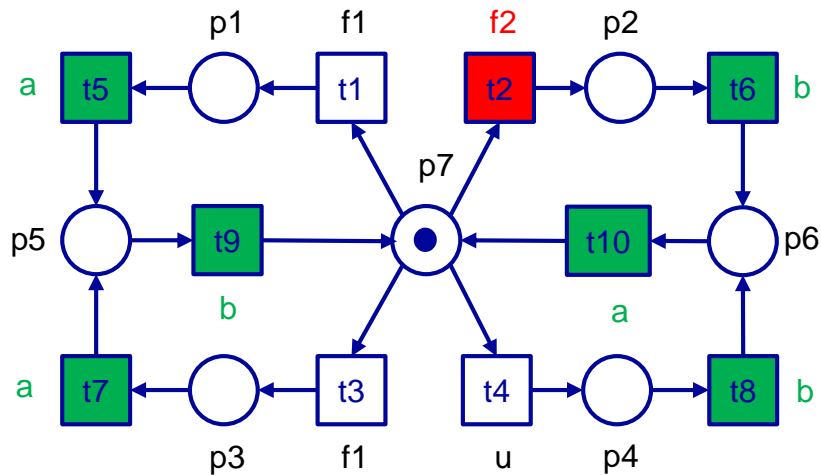
otherwise

❖ Fault tag propagation

- Faults are permanent.

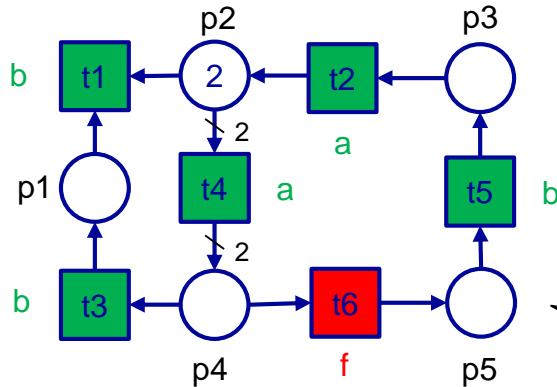


Delay value of FM-set



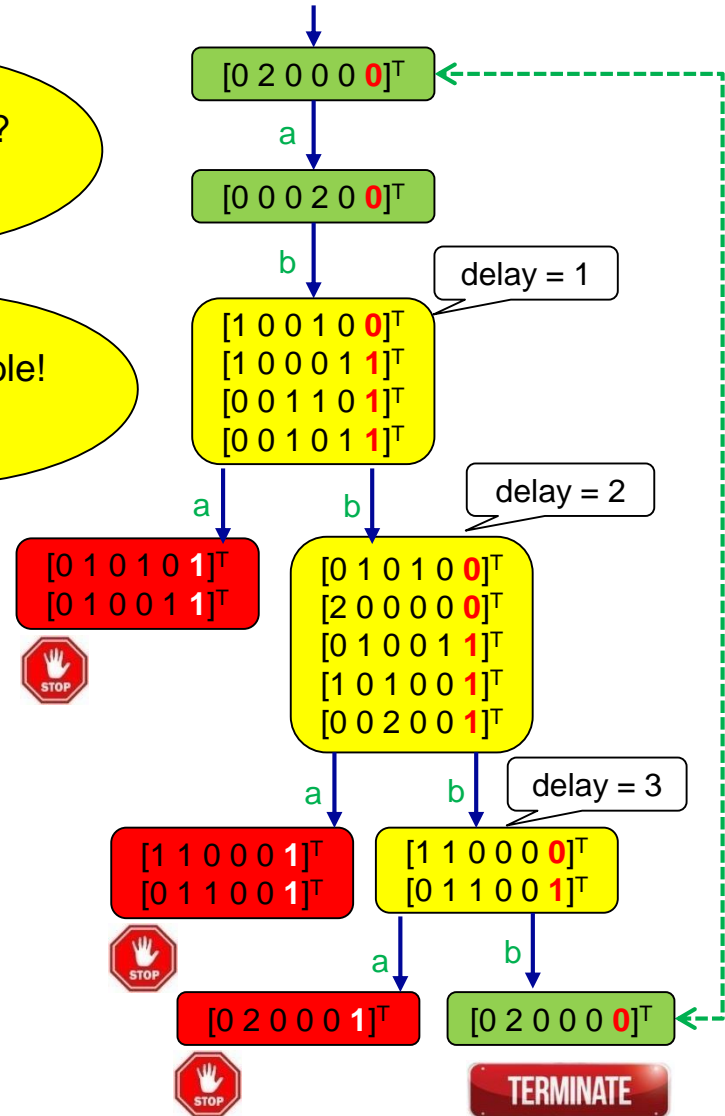
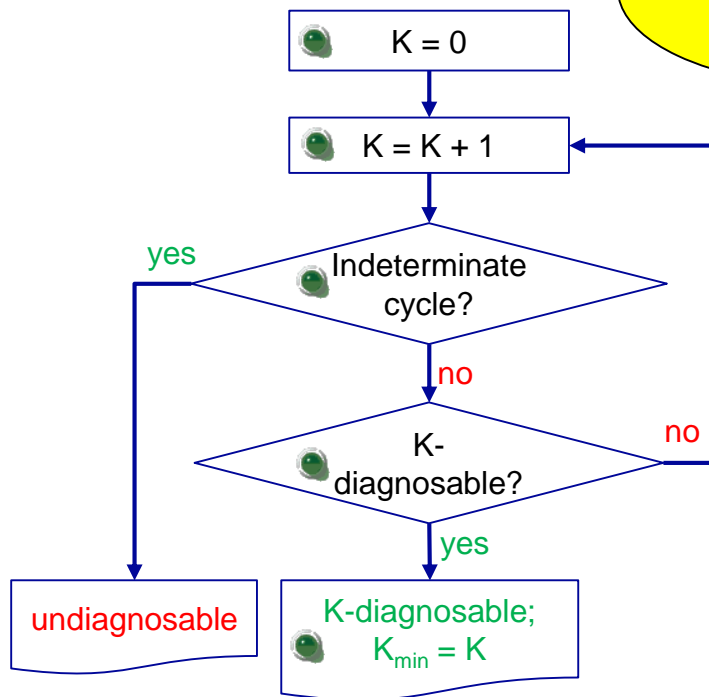
- ❖ Delay(g) is the maximum number of successive F-uncertain FM-sets after a fault for node g .
- ❖ Delay value is useful when
 - Investigating K -diagnosability;
 - Finding K_{\min} if the system is diagnosable.

Checking diagnosability & searching K_{\min}

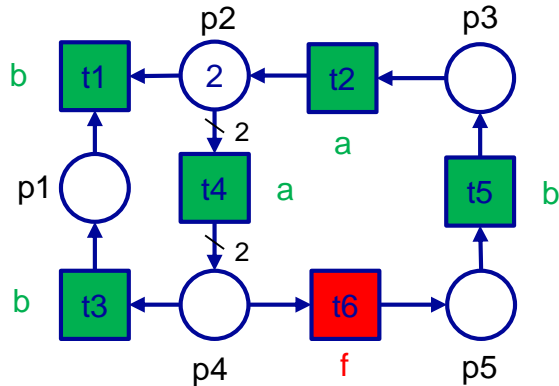


Diagnosable?
 K_{\min} ?

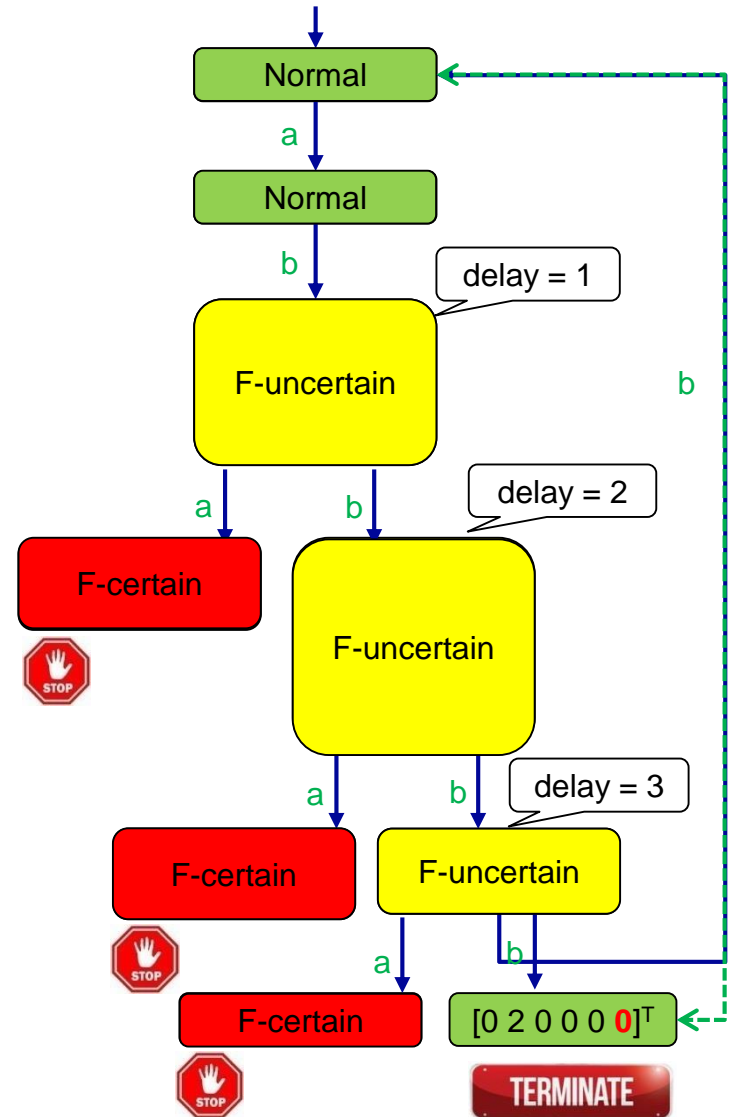
4-Diagnosable!
 $K_{\min} = 4$



Online diagnosis



- ❖ An online diagnoser can be derived from **FM-set tree**.
- ❖ Low memory cost



Algorithms and Complexity

- ❖ Recursive algorithm to investigate classic diagnosability based on K-diagnosability analysis
- ❖ Complexity: the same level as that of diagnoser approach (in the worst case).
- ❖ Efficiency in terms of time and memory: partial generation of the state space.

Algorithm 3 Checking K -diagnosability by on-the-fly building of FM-graph and FM-set tree in parallel

```

1: Input: an FM-set  $x$  in the FM-set tree  $\mathcal{T}$ , and  $K$  relative to  $K$ -diagnosability;
2: Output: a triple  $(\mathcal{T}', y, n)$ , where  $\mathcal{T}'$  is the FM-set tree generated after running KDIAG function,  $y$  is the FM-set where the generation of an FM-set tree branch stops and  $n$  is the  $K$ -diagnosability verdict;
3: function KDIAG( $\mathcal{T}, x, K$ )
4:   for all  $e \in \Sigma_a$  do
5:      $x' \leftarrow \text{NEXTFMSET}(x, e)$ ;  $\triangleright x'$  is the child node of  $x$ .
6:     update  $\mathcal{T}$  to  $\mathcal{T}'$  by adding  $x'$  from  $x$  upon  $e$ ;
7:     if  $(x' \neq \emptyset) \wedge [\text{tag}(x') = N]$  then
8:       if  $(\forall x'' \in \mathcal{X}_a)(x' \neq x'')$  then  $\triangleright$  No equivalent node already exists.
9:          $\mathcal{X}_a \leftarrow \mathcal{X}_a \cup \{x'\}$ ;
10:         $(\mathcal{T}', y, n) \leftarrow \text{KDIAG}(\mathcal{T}', x', K)$ ;
11:        if  $n \neq 1$  then
12:          return  $(\mathcal{T}', y, n)$ ;
13:    if  $(x' \neq \emptyset) \wedge [\text{tag}(x') = U]$  then
14:      if  $\text{delay}(x') = K$  then
15:        return  $(\mathcal{T}', x', 0)$ ;  $\triangleright 0$  denotes that  $N_L$  is not  $K$ -diagnosable.
16:         $\triangleright$  However, it may be  $K'$ -diagnosable for  $K' > K$ .
17:      else
18:        if  $(\exists x'' \in \mathcal{X}_a)(x'' = x')$  then
19:          if  $x'$  is in an indeterminate cycle then  $\triangleright$  Use of function
20:             $\triangleright$  path_exists from the library digraph [Rushon, 2012].
21:              return  $(\mathcal{T}', x', -1)$ ;  $\triangleright -1$  denotes  $N_L$  is not
22:                 $\triangleright$   $(K)$ -diagnosable due to the indeterminate cycle.
23:            else if  $\text{delay}(x') > \text{delay}(x'')$  then
24:               $d \leftarrow \text{delay}(x') - \text{delay}(x'')$ ;
25:              if  $\text{UPDELAY}(x'', d, K) = \text{FALSE}$  then
26:                return  $(\mathcal{T}', x', 0)$ ;  $\triangleright$  cf. Algorithm 5.
27:            else
28:               $\mathcal{X}_a \leftarrow \mathcal{X}_a \cup \{x'\}$ ;
29:               $(\mathcal{T}', y, n) \leftarrow \text{KDIAG}(\mathcal{T}', x', K - 1)$ ;
30:              if  $n \neq 1$  then return  $(\mathcal{T}', y, n)$ ;
31:    return  $(\mathcal{T}', x', 1)$ ;  $\triangleright 1$  denotes that  $N_L$  is  $K$ -diagnosable.

```

Algorithm 6 Checking diagnosability

```

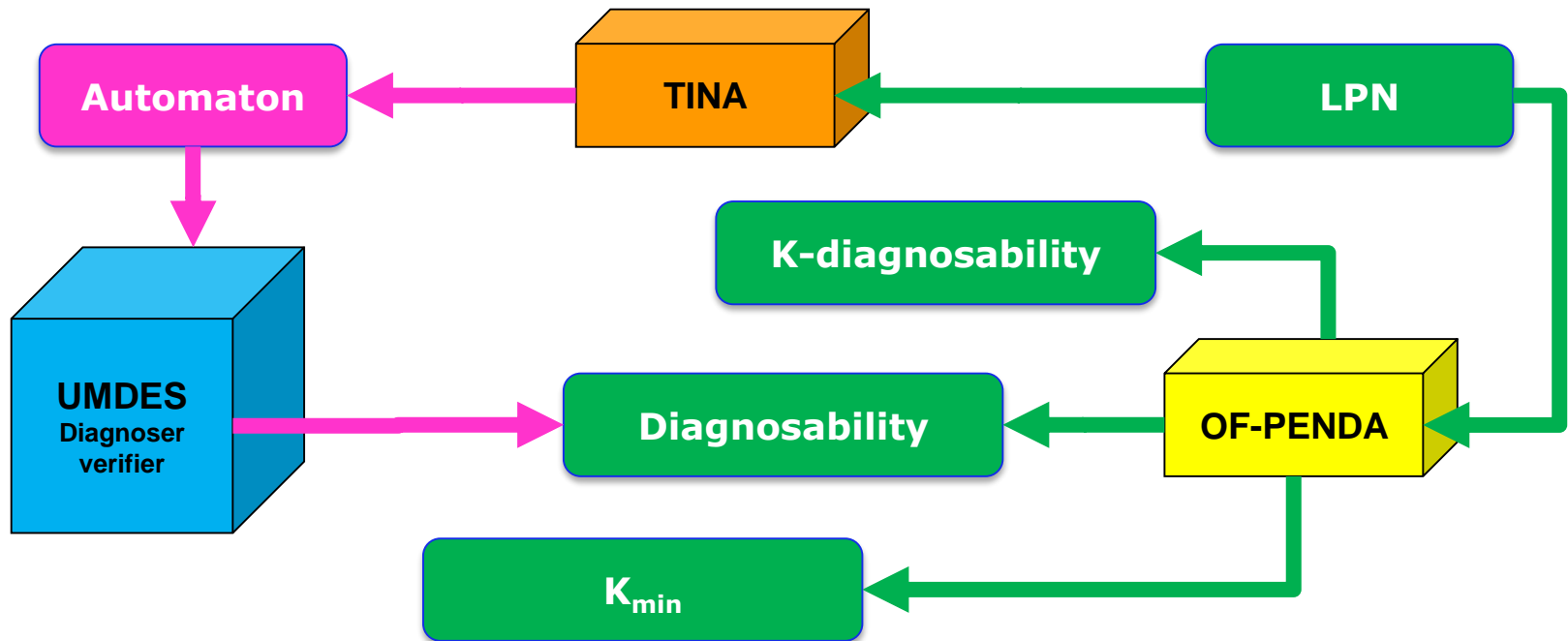
1: Input:  $N_L = (P, T, Pre, Post, M_0, \Sigma, \varphi), T_0, T_f$ ;
2: Output: diagnosability verdict and  $(\mathcal{T}', K_{min})$  if  $N_L$  is diagnosable;
3:  $y \leftarrow x_0$ ;
4:  $K \leftarrow 0$ ;
5:  $n \leftarrow 0$ ;
6:  $\mathcal{T}' \leftarrow$  the initial FM-set tree which contains only  $x_0$ ;
7: while  $n = 0$  do  $\triangleright$  If  $N_L$  is not  $K$ -diagnosable.
8:    $K \leftarrow K + 1$ ;
9:    $x \leftarrow y$ ;
10:   $\mathcal{T} \leftarrow \mathcal{T}'$ ;
11:   $(\mathcal{T}', y, n) \leftarrow \text{KDIAG}(\mathcal{T}, x, K)$ ;  $\triangleright$  cf. Algorithm 3.
12: if  $n = 1$  then
13:   return  $(\mathcal{T}', K)$ ;  $\triangleright N_L$  is  $K_{min}$ -diagnosable where  $K_{min} = K$ .
14:    $\triangleright \mathcal{T}'$  is used for building the diagnoser, cf. Section 4.5.
15: else  $\triangleright$  If  $n = -1$ .
16:   return 0;  $\triangleright N_L$  is not diagnosable.

```

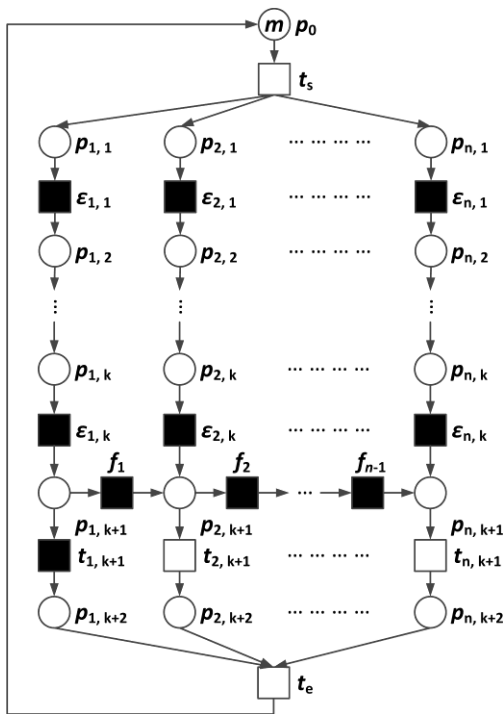
OF-PENDA software tool

❖ OF-PENDA

- = **O**n-the-**F**ly **P**etri-**N**et-based **D**iagnosability **A**nalysers
- Command line software developed using C++
- Construction of marking graph, FM-graph, FM-set graph



Application to WODES benchmark

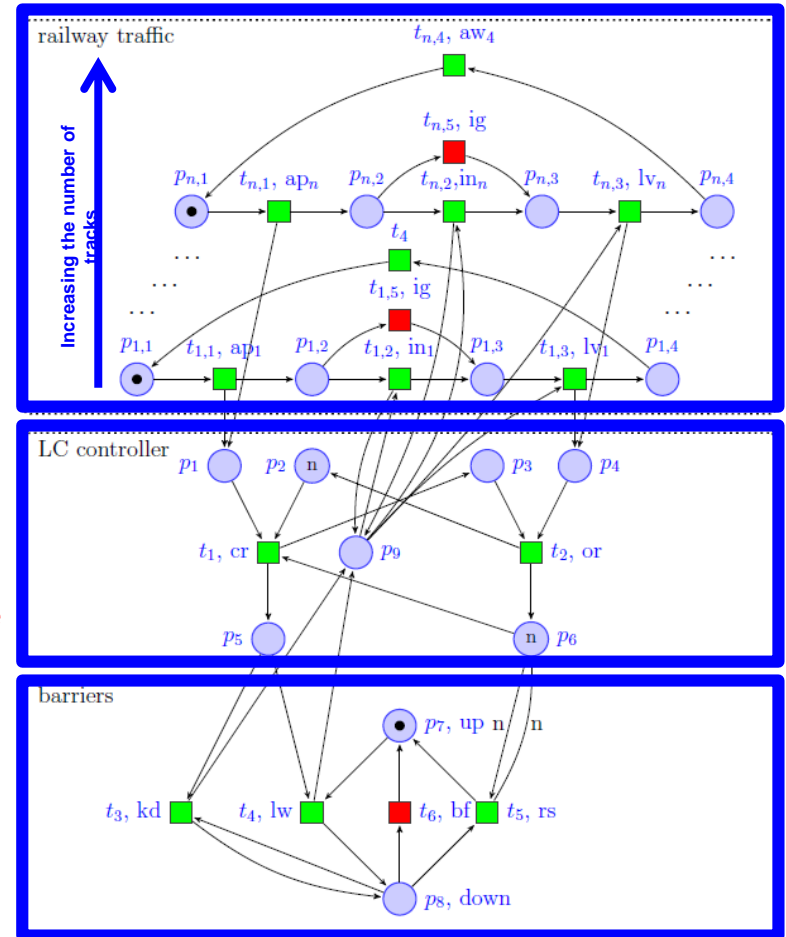
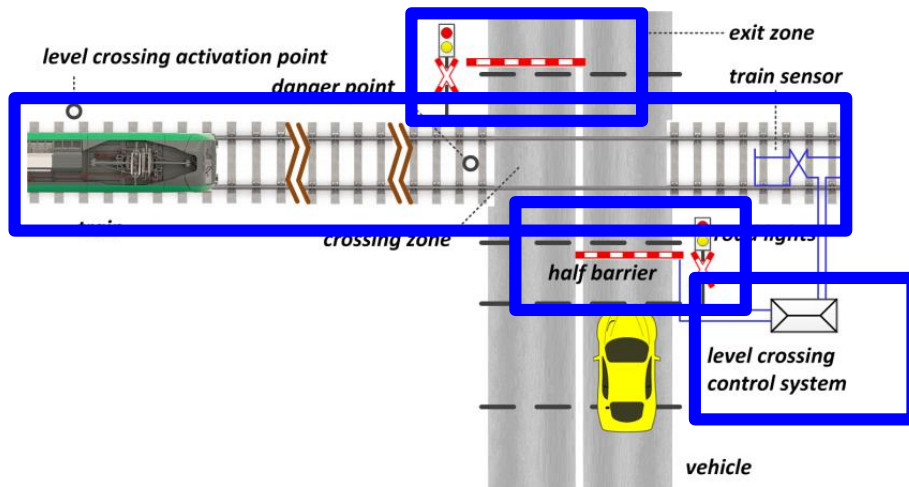


m	n	k	R	N	Diag	X _v	D _D	D _O	K
1	2	1	15	8	4	3	Yes	Yes	2
1	2	2	24	10	4	3	Yes	Yes	2
1	2	3	35	12	4	3	Yes	Yes	2
1	2	4	48	14	4	3	Yes	Yes	2
1	3	1	80	52	10	6	Yes	Yes	3
1	3	2	159	90	10	6	Yes	Yes	3
1	3	3	274	138	10	6	Yes	Yes	3
1	3	4	431	196	10	6	Yes	Yes	3
1	4	1	495	367	29	17	Yes	Yes	4
1	4	2	1200	822	29	17	Yes	Yes	4
1	4	3	2415	1533	o.t.	17	o.t.	Yes	4
1	4	4	4320	2554	o.t.	17	o.t.	Yes	4
1	5	1	3295	2607	o.t.	66	o.t.	Yes	5
1	5	2	9691	o.t.	o.t.	o.t.	o.t.	o.t.	o.t.
2	2	1	96	68	20	9	No	No	8
2	2	2	237	137	o.t.	9	o.t.	No	8
2	3	1	1484	801	20	12	No	No	11
2	3	2	5949	2746	o.t.	12	o.t.	No	11
2	4	1	28203	8795	o.t.	15	o.t.	No	14
2	4	2	180918	o.t.	o.t.	o.t.	o.t.	o.t.	o.t.
3	2	1	377	290	66	12	No	No	11
3	3	1	12048	5165	o.t.	16	o.t.	No	15
3	4	1	484841	o.t.	o.t.	o.t.	o.t.	o.t.	o.t.

❖ WODES benchmark [Giua2007]

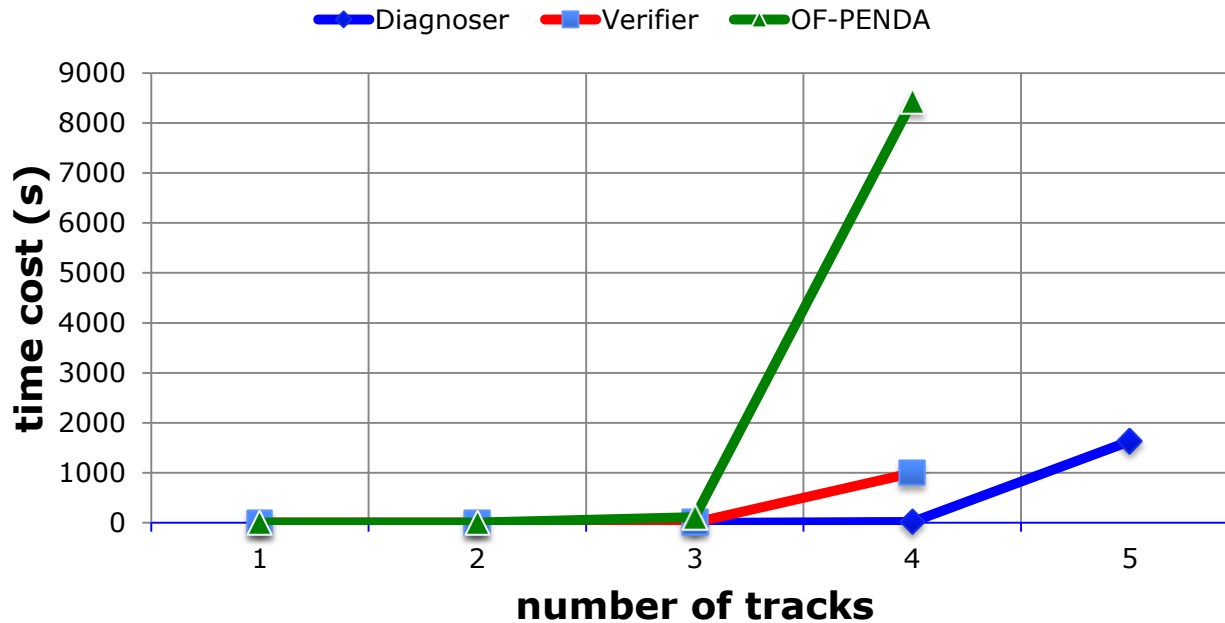
- Diagnosability verdict
- Diagnosable when $m = 1$
- Live when $n = 1$

Level crossing (LC) benchmark



- ❖ Level crossing benchmark
 - Two types of faults: **diagnosable** and **undiagnosable** faults
 - **Live** and **bounded**
 - Model size increases with n .

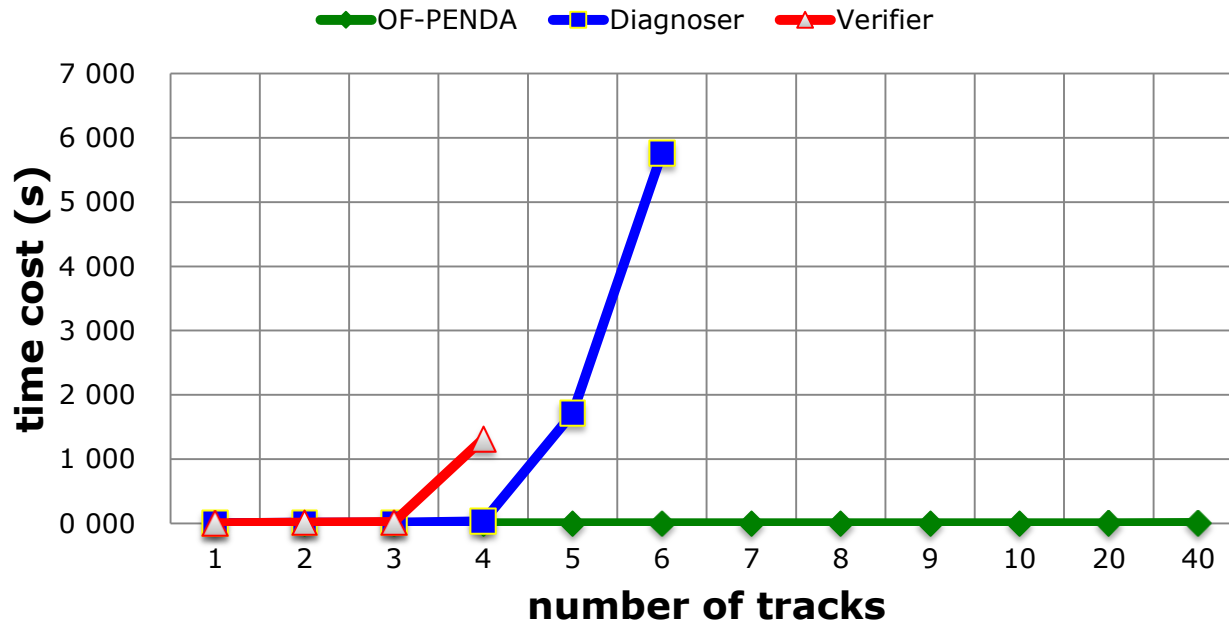
Comparative result on Σ_{F1}



n	Size of .aut file by TINA
1	655b
2	11KB
3	128KB
4	1.2MB
5	9.9MB
6	74.8MB
7	518.6MB
8	>16GB

❖ Σ_{F1} is diagnosable

Comparative result on Σ_{F2}



❖ Σ_{F2} is undiagnosable



II. Fault diagnosis of DES in **timed** context

- ❖ Time splitting technique
- ❖ Diagnosability analysis
- ❖ Online diagnosis

State of the art – timed diagnosis

Authors	TA	TPN/LTPN	Diagnosis	Diagnosability	Δ -diagnosability
Tripakis et al.	✓		✓	✓	✓
Ghazel et al.		✓	✓		
Boel et al.		✓	✓		
Our contribution		✓	✓	✓	✓

- ❖ Timed automata (TA) based approach
 - A priori building of the state space
 - Combinatorial explosion
- ❖ Time Petri net based approach
 - Expressiveness
 - Overcome combinatorial explosion
- ❖ Our contribution is the **first** to discuss diagnosability using TPN.

Objectives

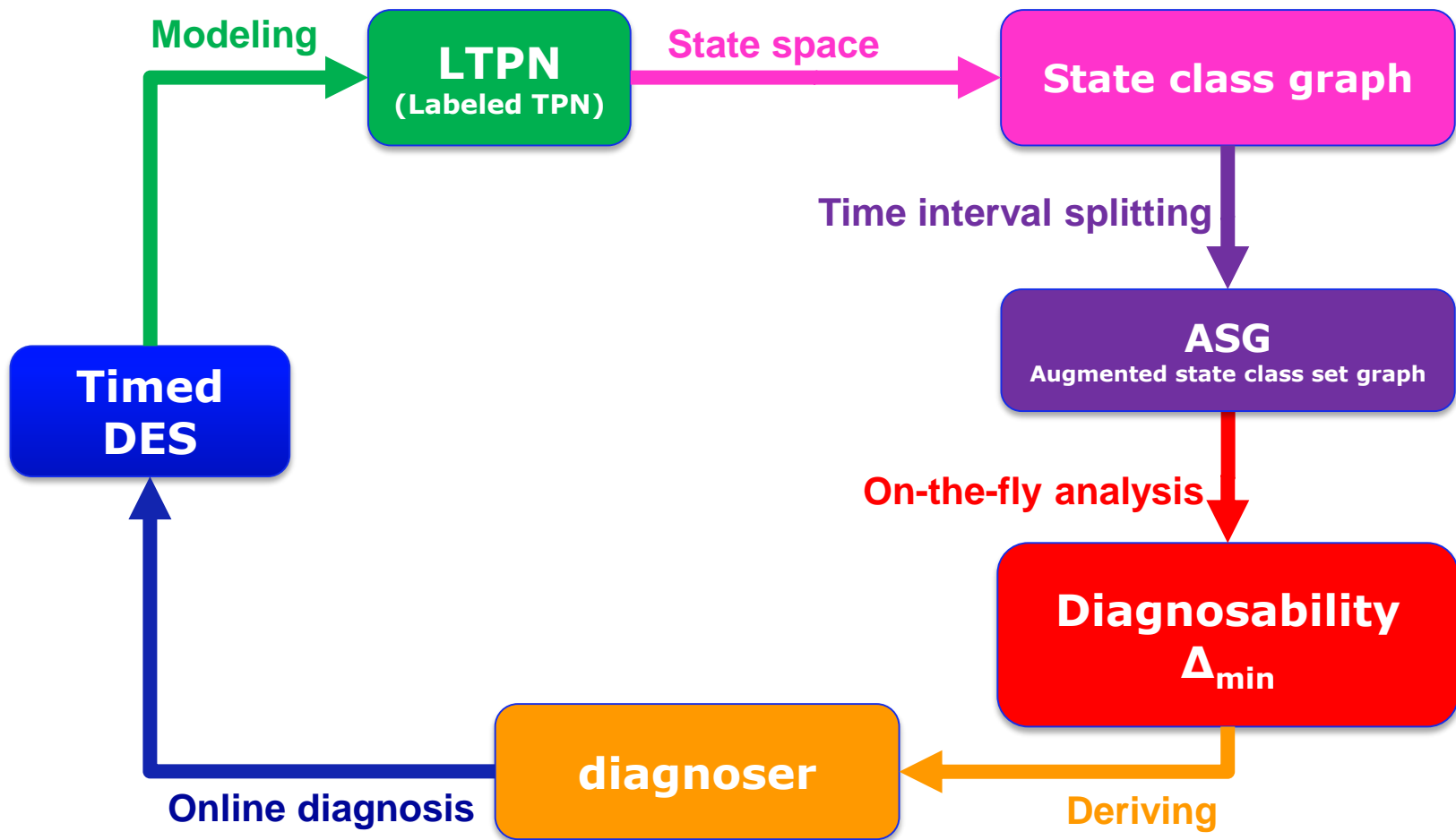
❖ Objective

- Overcome the **combinatorial explosion** problem

❖ Problems

- Is it possible to analyze diagnosability in timed context using untimed analysis techniques?
 - Time interval splitting technique for LTPN
- When is a timed DES diagnosable?
 - Necessary and sufficient conditions for diagnosability of LTPN
- What is the minimum Δ to ensure diagnosability?
 - On-the-fly analysis of diagnosability

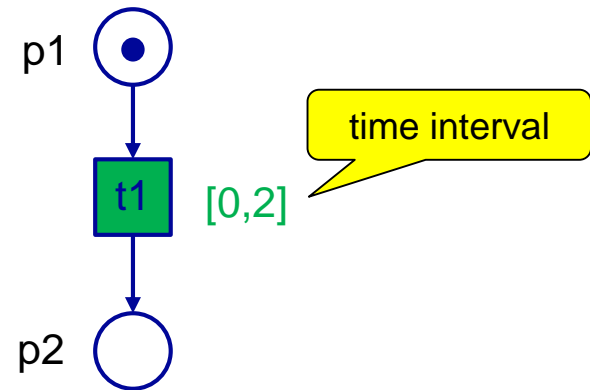
Solution



Time Petri net (TPN) & labeled TPN (LTPN)

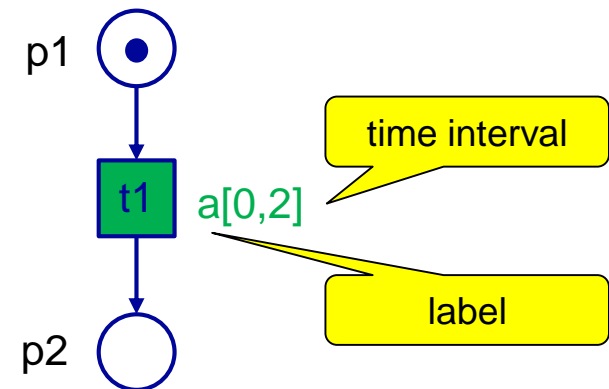
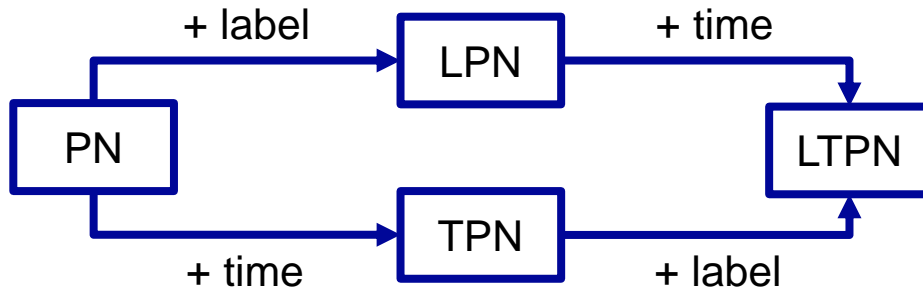
❖ TPN

- Transition firing: immediate
- Firing date belongs to time interval
- Strong semantic



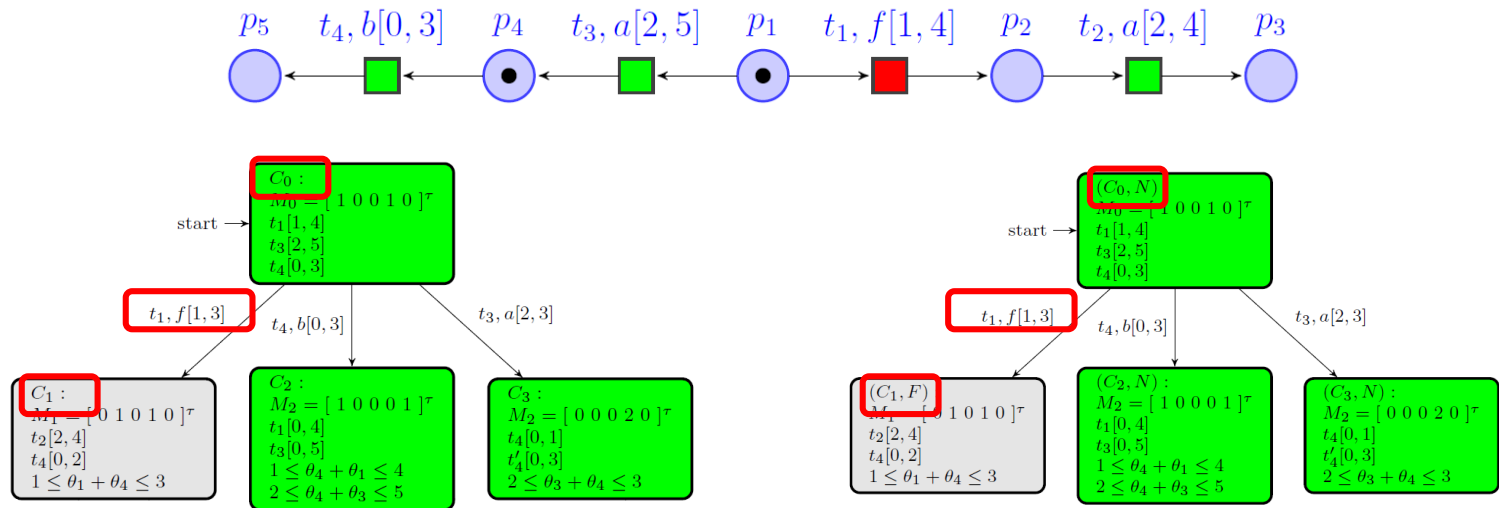
❖ LTPN

- The same label can assign to different transitions
- Nondeterministic structure



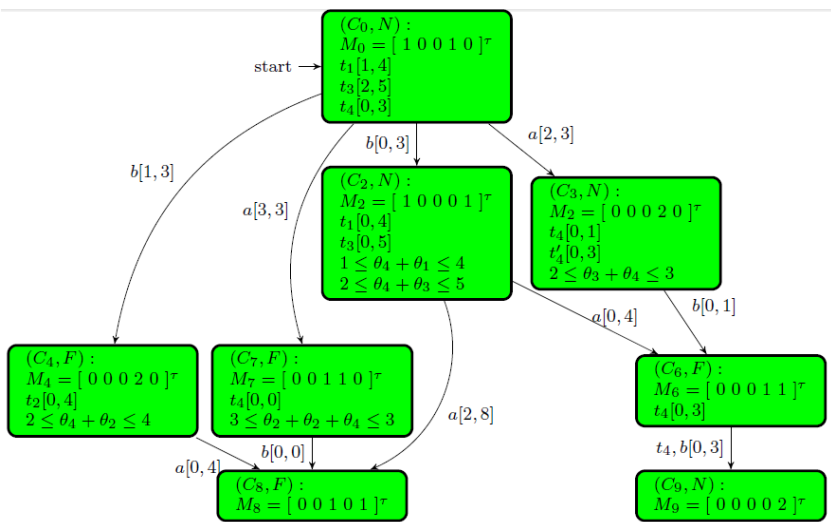
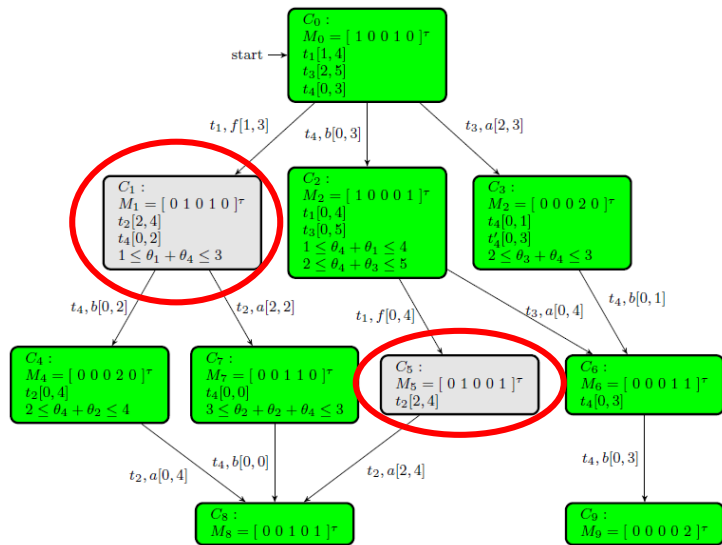
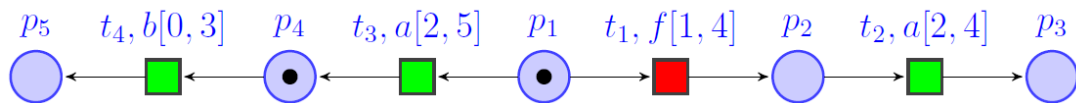
Augmented state class (ASC)

Untimed PN	Time PN (TPN)
Marking (state)	State class
State representation using the same marking	
LPN	LTPN
FM = marking + fault tag	ASC = state class + fault tag
state + fault tag	

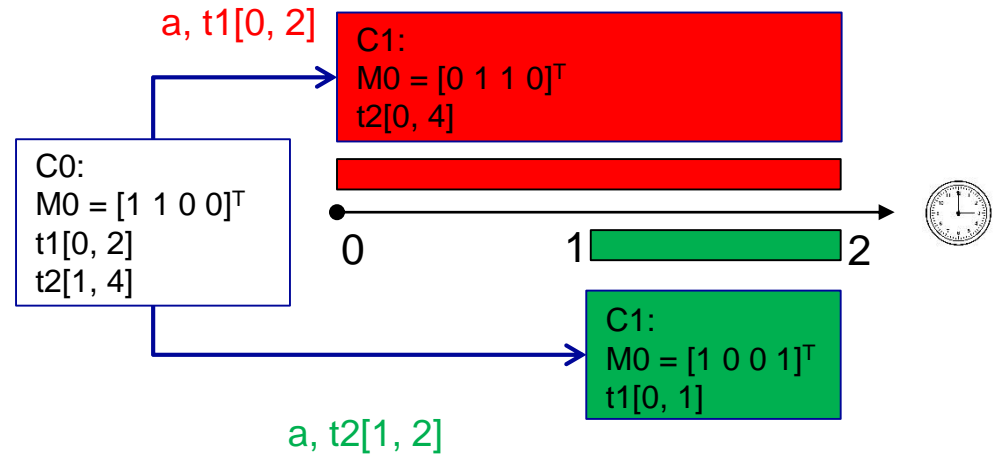
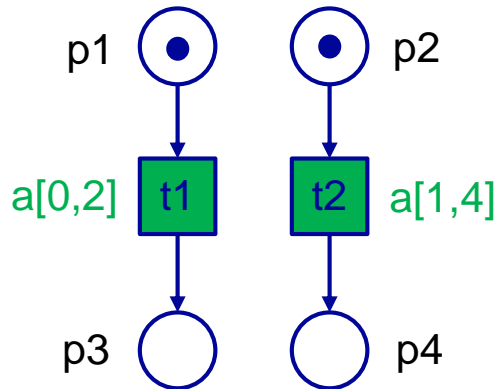


ASC-graph

LPN	LTPN
FM-graph = FM reachability + fault tag	ASC-graph = state class reachability + fault tag
Reachability graph + fault tag	

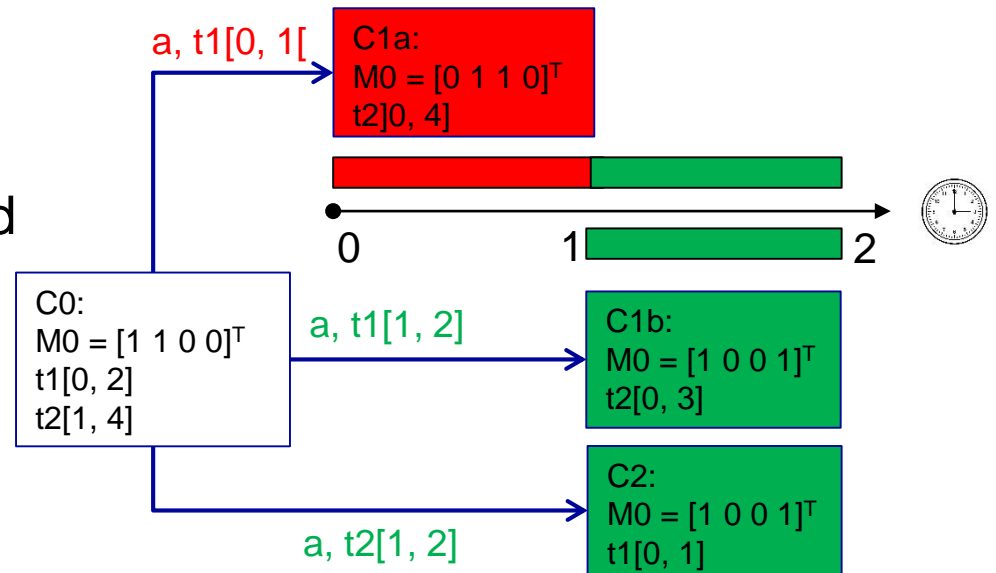


Nondeterminism of LTPN

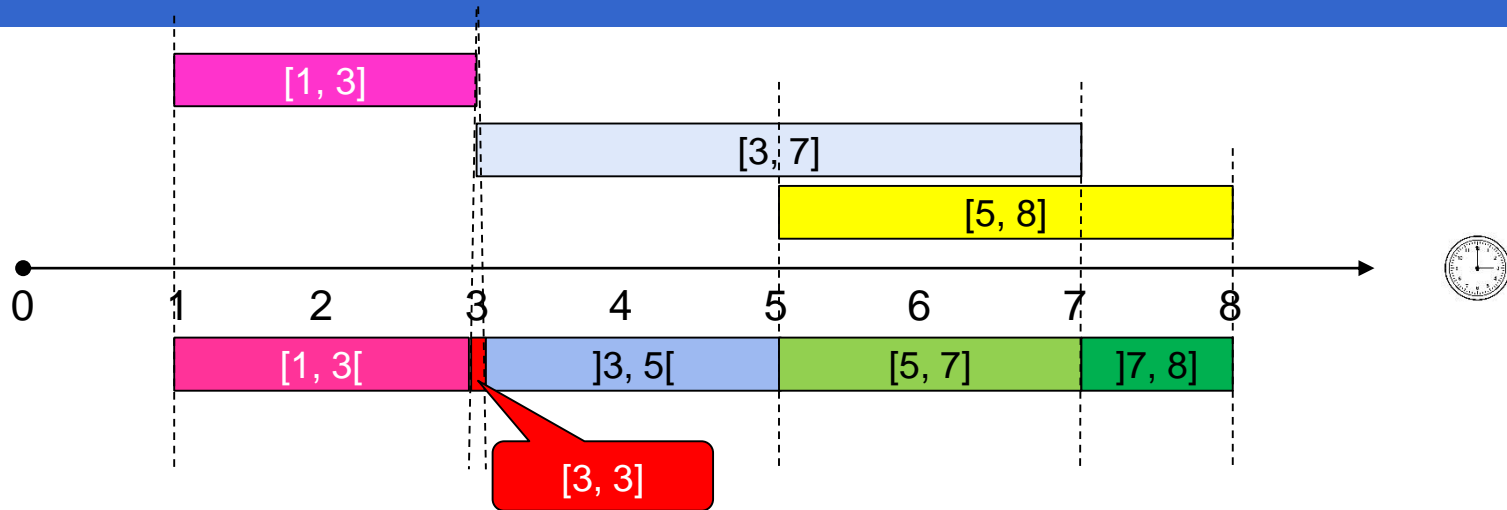


❖ Nondeterminism

- Empty transition (reduced by sequence duration)
- Output with the same label & the same firing date



Time interval splitting (TIS)



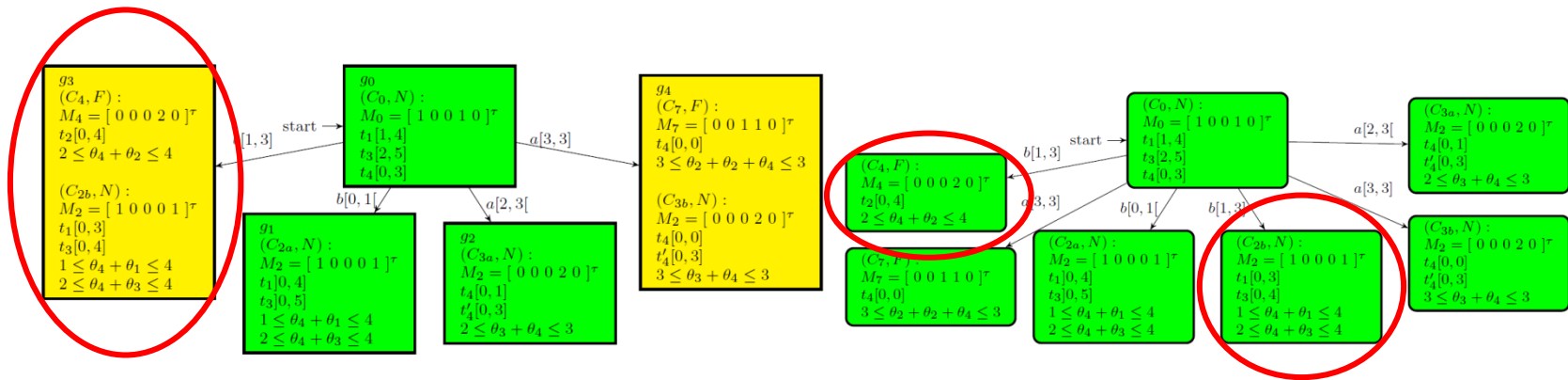
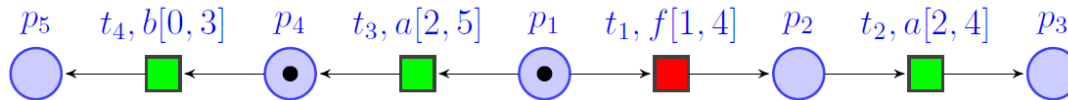
Definition Given a finite time interval set A , the basic interval set (BIS) of A , denoted by $BIS(A)$, is a set of disjoint nonempty time intervals β_j subject to:

1. $\forall k \neq j, \beta_k \cap \beta_j = \emptyset$;
2. $\forall \alpha \in A, \exists \beta_1, \beta_2, \dots, \beta_m \in BIS(A)$, such that $\alpha = \bigcup_{j=1}^m \beta_j$;
3. $\forall \beta_1, \beta_2 \in BIS(A), \beta_1 \neq \beta_2, \exists \alpha \in A$, such that $\beta_1 \cap \alpha = \emptyset, \beta_2 \cap \alpha \neq \emptyset$.

- ❖ The BIS of a finite interval set is **finite** & **unique**.
- ❖ Timed analysis can be transformed into **untimed** analysis.

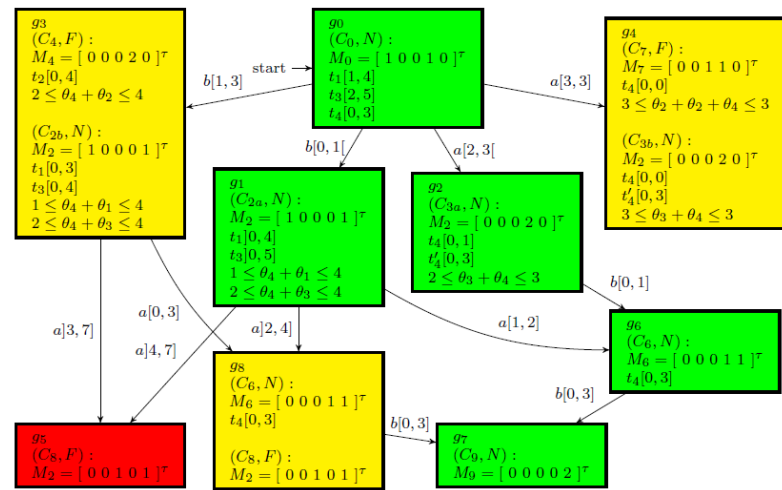
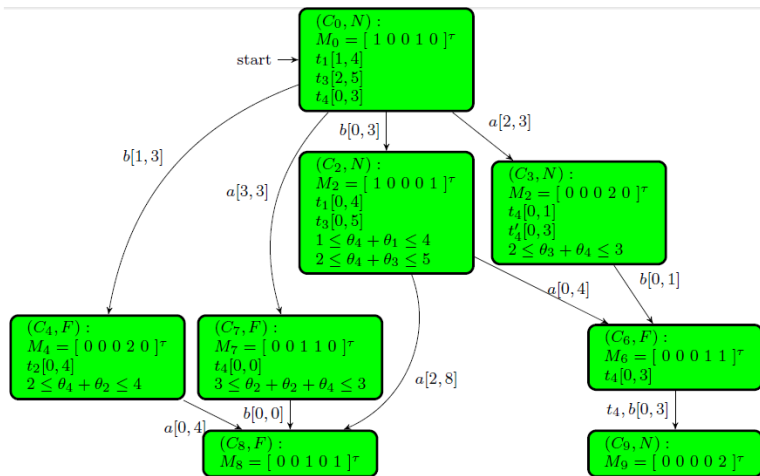
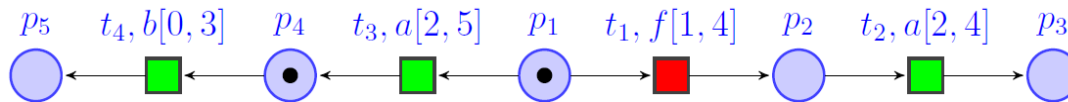
ASC-set

LPN	LTPN
FM-set = A set of FMs relative to the same observation	ASC-set = A set of ASCs relative to the same observation
A set of states relative to the same observation	



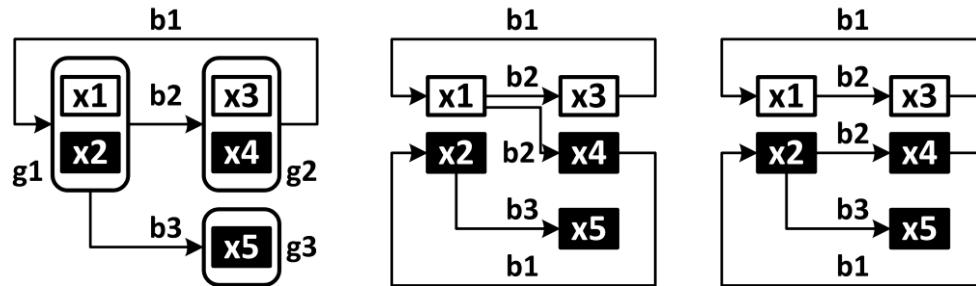
ASC-set graph (ASG)

LPN	LTPN
FM-set tree = FM-set reachability + fault propagation	ASG = ASC-set reachability + fault propagation
State estimation + fault propagation	

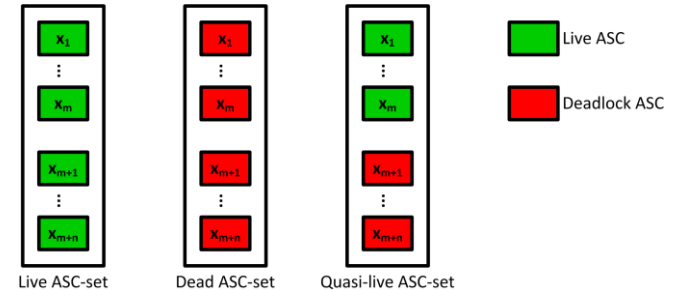
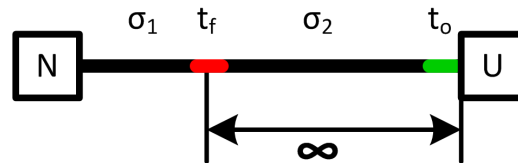


Conditions for undiagnosability

- ❖ Condition 1: existence of indeterminate cycle



- ❖ Condition 2: infinite sequence duration before F-uncertain node

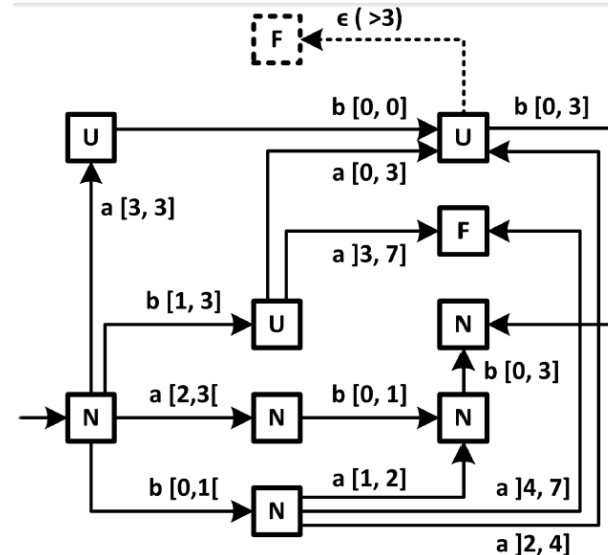
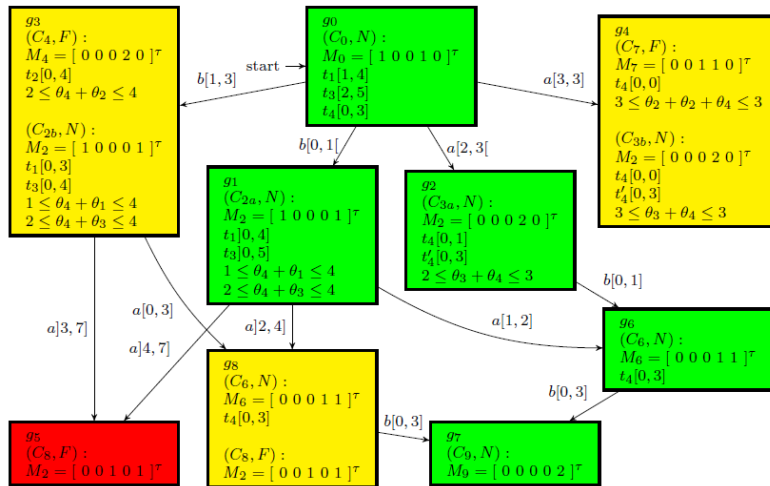
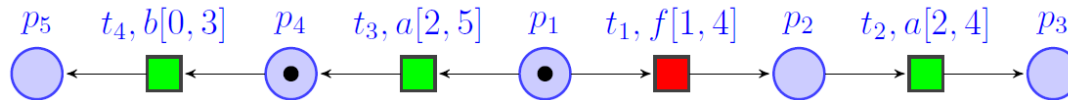


- ❖ Condition 3: dead F-uncertain subset of ASC

Stopping condition	Discision
Indeterminate cycle	undiagnosable
Infinite duration after a fault	
Specified dead subset of ASC	

Online diagnosis

- ❖ Online diagnoser
 - Derived from the ASG
 - Deterministic structure





Conclusions & perspectives

Conclusions

- ❖ Fault diagnosis of DES in untimed context
 - Analysis using partial generation of the state space
 - Integration of **K-**, classic **diagnosability** and **diagnosis** analysis
 - Search K_{\min} for diagnosable systems
- ❖ Fault diagnosis of DES in timed context
 - **Time interval splitting** technique untimed context
 - Transformation of LTPN to **untimed** structure
 - **Necessary and sufficient conditions** for diagnosability
 - Efficient on-the-fly approach to tackle combinatorial explosion

Perspectives

- ❖ Fault diagnosis of DES in untimed context
 - K_{opt} : optimal bound for search K_{min} .
 - Application of on-the-fly and incremental approach to verifier approach.
- ❖ Fault diagnosis of DES in timed context
 - Δ_{opt} : optimal bound for searching Δ_{min} .
 - Zone graph technique.

Publications

- ❖ **Liu, B.**, Ghazel, M., & Toguyeni, A. *Diagnosis of Labeled Time Petri Nets Using Time Interval Splitting*. The 19th IFAC World Congress (**IFAC-WC 2014**), 2014.
- ❖ **Liu, B.**, Ghazel, M., & Toguyeni, A. *Toward an Efficient Approach for Diagnosability Analysis of DES modeled by Labeled Petri Nets*. The 13th European Control Conference (**ECC'14**), 2014.
- ❖ **Liu, B.**, Ghazel, M., & Toguyéni, A. *Évaluation à la volée de la diagnosticabilité des systèmes à événements discrets temporisés*. Journal Européen des Systèmes Automatisés (**JESA**), édition spéciale **MSR'13**. 2013.
- ❖ **Liu, B.**, Ghazel, M., & Toguyeni, A. *K-diagnosability of labeled Petri nets*. 9ème édition de la conférence MANifestation des JEunes Chercheurs en Sciences et Technologies de l'Information et de la Communication (**MajecSTIC 2012**), 2012.

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